

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IFW 2141 V \$

Applicant: HAMILTON ET AL. Examiner: T. LIEN  
Serial No.: 09/850,318 Group Art Unit: 2141  
Filed: MAY 7, 2001 Docket: 158537.1/40062.115US01  
Confirmation No.: 1703  
Title: PUBLIC AND PRIVATE COMPONENTS OPERATING WITHIN MULTIPLE APPLICATIONS OF A COMPONENT-BASED COMPUTING SYSTEM

CERTIFICATE UNDER 37 CFR 1.8:

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on January 10, 2005.

By: Kate Gannon

Name: KATE GANNON

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**23552**

PATENT TRADEMARK OFFICE

Sir:

We are transmitting herewith the attached:

- ☒ Transmittal Sheet in duplicate containing Certificate of Mailing
- ☒ Information Disclosure Statement, Form 1449, 13 Reference(s)
- ☒ Check(s) in the amount of \$180.00 for Information Disclosure Statement
- ☒ Return postcard

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers or any future reply, if appropriate. Please charge any additional fees or credit overpayment to Deposit Account No. 13-2725. A duplicate of this sheet is enclosed.

MERCHANT & GOULD P.C.  
P.O. Box 2903, Minneapolis, MN 55402-0903  
612.332.5300

By: Robert A Kalinsky

Name: Robert A Kalinsky

Reg. No.: 50,471

RKalinsky:PLSklg



09/850,318

PATENT

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By: 

Name: KATE CANNON

INFORMATION DISCLOSURE STATEMENT (37 C.F.R. § 1.97(c))

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner.

This statement should be considered because it is submitted after the mailing date of a first Office Action on-the-merits or a first Office Action after filing a Request for Continued Examination under 37 C.F.R. § 1.114 or a CPA under 37 C.F.R. § 1.53(d), but before the mailing date of: i) a final action under 37 C.F.R. § 1.113; ii) a Notice of Allowance under 37 C.F.R. § 1.311; or iii) an action that otherwise closes prosecution on the application. Enclosed is a check in the amount of \$180.00 under 37 C.F.R. § 1.17(p) for consideration of the items listed on the enclosed Form 1449.

In view of the waiver of the requirements of 37 C.F.R. § 1.98(a)(2)(iii), a copy of each cited pending unpublished U.S. patent application that is stored in the Patent Office's Image File Wrapper (IFW) system is not provided, and in accordance with 37 C.F.R. § 1.98(a)(2), a copy of all other documents or other information listed on the enclosed Form 1449 is provided.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Please charge any additional fees or credit any overpayment to Deposit Account No. 13-2725.

Respectfully submitted,

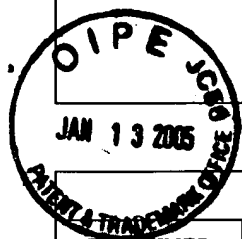
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Date: Jan 10, 2005

Robert A. Kalinsky  
Robert A. Kalinsky  
Reg. No. 50,471  
RAK:PLSklg



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|---|--|-----------------------------------|
| <b>FORM 1449*</b><br><b>INFORMATION DISCLOSURE STATEMENT</b><br><br><b>IN AN APPLICATION</b><br>(Use several sheets if necessary) | Docket Number:<br>158537.1/40062.115US01 | Application Number:<br>09/850,318 |
|   | Applicant: HAMILTON ET AL.               |                                   |
|   | Filing Date: 05/07/2001                  | Group Art Unit: 2141              |



## U.S. PATENT DOCUMENTS

| EXAMINER<br>INITIAL | DOCUMENT NO.    | DATE       | NAME               | CLASS | SUBCLASS | FILING DATE<br>IF APPROPRIATE |
|---------------------|-----------------|------------|--------------------|-------|----------|-------------------------------|
|                     | 5,941,943       | 08/24/1999 | Brenner et al.     |       |          |                               |
|                     | 5,996,013       | 11/30/1999 | Delp et al.        |       |          |                               |
|                     | 6,249,836 B1    | 06/19/2001 | Downs et al.       |       |          |                               |
|                     | 6,393,491 B1    | 05/21/2002 | Bracha et al.      |       |          |                               |
|                     | 2002/0113899 A1 | 08/22/2002 | Swan               |       |          |                               |
|                     | 2002/0122061 A1 | 09/05/2002 | Martin, Jr. et al. |       |          |                               |
|                     | 6,457,060 B1    | 09/24/2002 | Martin et al.      |       |          |                               |
|                     | 6,509,913 B2    | 01/21/2003 | Martin, Jr. et al. |       |          |                               |
|                     | 6,523,065 B1    | 02/18/2003 | Combs et al.       |       |          |                               |
|                     | 6,557,068 B2    | 04/29/2003 | Riley et al.       |       |          |                               |
|                     | 6,594,671 B1    | 07/15/2003 | Aman et al.        |       |          |                               |
|                     | 6,687,717 B1    | 02/03/2004 | Hamilton et al.    |       |          |                               |
|                     |                 |            |                    |       |          |                               |

## FOREIGN PATENT DOCUMENTS

|  | DOCUMENT NO. | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION |    |
|--|--------------|------|---------|-------|----------|-------------|----|
|  |              |      |         |       |          | YES         | NO |
|  |              |      |         |       |          |             |    |
|  |              |      |         |       |          |             |    |

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

|  |  |
|--|--|
|  | Clarke et al., "Ownership Types for Flexible Alias Protection, ACM, pp. 48-64 (Oct. 1998)                        |
|  | U.S. Patent Application Serial No. 09/850,289, "Method and System for Application Partitions," filed May 7, 2001 |
|  | U.S. Patent Application Serial No. 09/850,458, "Method and System for Application Partitions," filed May 7, 2001 |
|  | U.S. Patent Application Serial No. 09/850,489, "Method and System for Application Partitions," filed May 7, 2001 |

**23552**

PATENT TRADEMARK OFFICE

|   |                 |
|---|-----------------|
| EXAMINER  | DATE CONSIDERED |
| EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant. |                 |